

AN ENTIRE FUNCTION SHARING A LINEAR POLYNOMIAL WITH ITS LINEAR DIFFERENTIAL POLYNOMIALS.

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ABSTRACT. In this paper we study the uniqueness of an entire function when it shares a linear polynomial with its linear differential polynomials.

1. INTRODUCTION, DEFINITIONS AND RESULTS

Let f be a nonconstant meromorphic function defined in the open complex plane \mathbb{C} . The integrated counting function of poles of f is defined by

$$N(r, \infty; f) = \int_0^r \frac{n(t, \infty; f) - n(0, \infty; f)}{t} dt + n(0, \infty; f) \log r,$$

where $n(t, \infty; f)$ be the number of poles of f lying in $|z| \leq r$, the poles are counted according to their multiplicities and $n(0, \infty; f)$ be the multiplicity of pole of f at origin.

For a polynomial $a = a(z)$, $N(r, a; f)$ ($\bar{N}(r, a; f)$) be the integrated counting function (reduced counting function) of zeros of $f - a$ in $|z| \leq r$.

Let $A \subset \mathbb{C}$, we denote by $n_A(r, a; f)$ the number of zeros of $f - a$, counted with multiplicities, that lie in $\{z : |z| \leq r\} \cap A$. The corresponding integrated counting function $N_A(r, a; f)$ is defined by

$$N_A(r, a; f) = \int_0^r \frac{n_A(t, a; f) - n_A(0, a; f)}{t} dt + n_A(0, a; f) \log r.$$

We also denote by $\bar{N}_A(r, a; f)$ the reduced counting functions of those zeros of $f - a$ that lie in $\{z : |z| \leq r\} \cap A$.

Clearly if $A = \mathbb{C}$, then $N_A(r, a; f) = N(r, a; f)$ and $\bar{N}_A(r, a; f) = \bar{N}(r, a; f)$.

We denote by $E(a, f)$ the set of zeros of $f - a$ counted with multiplicities and by $\bar{E}(a, f)$ the set of distinct zeros of $f - a$.

For the standard definitions and notations of the value distribution theory authors suggest to see [1] and [8]

The investigation of uniqueness of an entire function sharing certain values with its derivatives was initiated by L. A. Rubel and C. C. Yang [7] in 1977. They proved the following result.

Theorem A. [7]. *Let f be a non-constant entire function. If $E(a; f) = E(a; f^{(1)})$ and $E(b; f) = E(b; f^{(1)})$, for distinct finite complex numbers a and b , then $f \equiv f^{(1)}$.*

In 1979 E.Mues and N.Steinmetz [6] took up the case of IM sharing in the place of CM sharing of values and proved the following theorem.

Theorem B. [6]. *Let f be a non-constant entire function and a, b be two distinct finite complex values and . If $\bar{E}(a; f) = \bar{E}(a; f^{(1)})$ and $\bar{E}(b; f) = \bar{E}(b; f^{(1)})$, then $f \equiv f^{(1)}$.*

The uniqueness of an entire function sharing a nonzero finite value with its first two derivatives was considered by G. Jank, E. Mues and L. Volkmann [2] in 1986. The following is their result.

Theorem C. [2]. *Let f be a nonconstant entire function and a be a nonzero finite value. If $\bar{E}(a; f) = \bar{E}(a; f^{(1)}) \subset \bar{E}(a; f^{(2)})$, then $f \equiv f^{(1)}$.*

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Considering $f = e^{\omega z} + \omega - 1$ and $a = \omega$, where ω is a $(k-1)$ th imaginary root of unity and $k(\geq 3)$ is an integer, H. Zhong [9] pointed out that in Theorem A one cannot replace the second derivative by any higher order derivative. Under this context H. Zhong [9] proved the following theorem.

Theorem D. [9]. *Let f be a non-constant entire function and a be a nonzero finite number. If $E(a; f) = E(a; f^{(1)})$ and $\overline{E}(a; f) \subset \overline{E}(a; f^{(n)}) \cap \overline{E}(a; f^{(n+1)})$ for $n(\geq 1)$, then $f \equiv f^{(n)}$.*

I. Lahiri and I. Kaish [3] improved Theorem D by considering a shared polynomial. They proved the following theorem.

Theorem E. [3]. *Let f be a non-constant entire function and $a = a(z)(\neq 0)$ be a polynomial with $\deg(a) \neq \deg(f)$. Suppose that $A = \overline{E}(a; f)\Delta\overline{E}(a; f^{(1)})$ and $B = \overline{E}(a; f^{(1)}) \setminus \{\overline{E}(a; f^{(n)}) \cap \overline{E}(a; f^{(n+1)})\}$, where Δ denotes the symmetric difference of sets and $n(\geq 1)$ is an integer.*

If

- (i) $N_A(r, a; f) + N_A(r, a; f^{(1)}) = O\{\log T(r, f)\}$,
 - (ii) $N_B(r, a; f^{(1)}) = S(r, f)$, and
 - (iii) each common zero of $f - a$ and $f^{(1)} - a$ has the same multiplicity,
- then either $f = \lambda e^z$, where $\lambda(\neq 0)$ is a constant.

Throughout the paper we denote by L a nonconstant linear differential polynomial in f of the form

$$L = a_1 f^{(1)} + a_2 f^{(2)} + \dots + a_n f^{(n)}, \quad (1.1)$$

where $a_1, a_2, \dots, a_n(\neq 0)$ are constants.

In 1999 P. Li [5] considered linear differential polynomials and proved the following result.

Theorem F. [5]. *Let f be a nonconstant entire function and L be defined by (1.1) and $a(\neq 0)$ be a finite number. If $\overline{E}(a; f) = \overline{E}(a; f^{(1)}) \subset \overline{E}(a; L) \cap \overline{E}(a; L^{(1)})$, then $f \equiv f^{(1)} \equiv L$.*

Considering a shared linear polynomial, we establish the following theorem which is our main result in the paper.

Theorem 1.1. *Let f be a nonconstant entire function, $a = a(z) = \alpha z + \beta$, where $\alpha(\neq 0), \beta$ are constants and $k(\geq 1)$ be an integer. Further suppose that L defined by (1.1) be such that $L^{(k+1)}$ is nonconstant and*

- (i) $N_A(r, a; f) + N_A(r, a; f^{(1)}) = O\{\log T(r, f)\}$, where $A = \overline{E}(a; f)\Delta\overline{E}(a; f^{(1)})$;
- (ii) $N_B(r, a; f^{(1)}) = S(r, f)$, where $B = \overline{E}(a; f^{(1)}) \setminus \{\overline{E}(a; L^{(k)}) \cap \overline{E}(a; L^{(k+1)})\}$;
- (iii) each common zero of $f - a$ and $f^{(1)} - a$ has the same multiplicity.

Then $f = L = \lambda e^z$, where $\lambda(\neq 0)$ is a constant.

Putting $A = B = \Phi$, we obtain the following corollary.

Corollary 1.1. *Let f be a nonconstant entire function, $a = a(z) = \alpha z + \beta$, where $\alpha(\neq 0), \beta$ are constants and $k(\geq 1)$ be an integer. Further suppose that L defined by (1.1) be such that $L^{(k+1)}$ is nonconstant and*

$$E(a; f) = E(a; f^{(1)}), \quad \overline{E}(a; f^{(1)}) \subset \{\overline{E}(a; L^{(k)}) \cap \overline{E}(a; L^{(k+1)})\}.$$

Then $f = L = \lambda e^z$, where $\lambda(\neq 0)$ is a constant.

2. LEMMAS

In this section we present some necessary lemmas.

Lemma 2.1. [3]. *Let f be transcendental entire function of finite order and $a = a(z)(\neq 0)$, be a polynomial and $A = \overline{E}(a; f)\Delta\overline{E}(a; f^{(1)})$.*

If

- (i) $N_A(r, a; f) + N_A(r, a; f^{(1)}) = O\{\log T(r, f)\}$,
 - (ii) each common zero of $f - a$ and $f^{(1)} - a$ have the same multiplicity,
- then $m(r, a; f) = m(r, \frac{1}{f-a}) = S(r, f)$.

Lemma 2.2. *Let f be a transcendental entire function, $a = a(z) = \alpha z + \beta$, where $\alpha (\neq 0), \beta$ are constant and L defined by (1.1) be such that $L^{(k+1)}$ is nonconstant. Further suppose that*

$$h = \frac{(a - a^{(1)})L^{(k)} - a(f^{(1)} - a^{(1)})}{f - a}$$

$$\text{and } A = \overline{E}(a; f) \setminus \overline{E}(a; f^{(1)})$$

$$B = \overline{E}(a; f^{(1)}) \setminus \{\overline{E}(a; L^{(k)}) \cap \overline{E}(a; L^{(k+1)})\}, \quad k (\geq 1) \text{ be an integer.}$$

If

$$(i) \quad N_A(r, a; f) + N_B(r, a; f^{(1)}) = S(r, f),$$

(ii) each common zero of $f - a$ and $f^{(1)} - a$ has the same multiplicity,

(iii) h is transcendental entire or meromorphic function,

$$\text{then } m(r, a; f^{(1)}) = m(r, \frac{1}{f^{(1)} - a}) = S(r, f).$$

Proof. From the hypothesis we get

$$\begin{aligned} N(r, h) &\leq N_A(r, a; f) + N_B(r, a; f^{(1)}) + N_{(2)}(r, a; f) + S(r, f) \\ &= N_{(2)}(r, a; f) + S(r, f), \end{aligned} \quad (2.1)$$

where $N_{(2)}(r, a; f)$ is the counting function of multiple zeros of $f - a$.

Let z_0 is a common zero of $f - a$ and $f^{(1)} - a$ with multiplicity $q (\geq 2)$, then z_0 is a zero of $a - a^{(1)} = (f^{(1)} - a^{(1)}) - (f^{(1)} - a)$ with multiplicity $q - 1$.

So

$$N_{(2)}(r, a; f) \leq 2N(r, 0; a - a^{(1)}) + N_A(r, a; f) = S(r, f),$$

Therefore from (2.1) we get $N(r, h) = S(r, f)$.

Since $m(r, h) = S(r, f)$, we have $T(r, h) = S(r, f)$.

Now by a simple calculation we get

$$f = a + \frac{1}{h} \{(a - a^{(1)})(L^{(k)} - a) - a(f^{(1)} - a)\}.$$

Differentiating we obtain

$$\begin{aligned} f^{(1)} &= a^{(1)} + \left(\frac{1}{h}\right)^{(1)} \{(a - a^{(1)})(L^{(k)} - a) - a(f^{(1)} - a)\} \\ &+ \left(\frac{1}{h}\right) \{a^{(1)}(L^{(k)} - a) + (a - a^{(1)})(L^{(k+1)} - a^{(1)}) - a^{(1)}(f^{(1)} - a) - a(f^{(2)} - a^{(1)})\}. \end{aligned}$$

That is,

$$\begin{aligned} (f^{(1)} - a) \left\{1 + \left(\frac{a}{h}\right)^{(1)}\right\} &= (a^{(1)} - a) + \left(\frac{a - a^{(1)}}{h}\right)^{(1)} (L^{(k)} - a) \\ &+ \left(\frac{a - a^{(1)}}{h}\right) (L^{(k+1)} - a^{(1)}) - \left(\frac{a}{h}\right) (f^{(2)} - a^{(1)}). \end{aligned} \quad (2.2)$$

Case 1:

First we suppose $k = 1$. Then from (2.2) we get

$$\begin{aligned} (f^{(1)} - a) \left\{1 + \left(\frac{a}{h}\right)^{(1)}\right\} &= (a^{(1)} - a) + \left(\frac{a - a^{(1)}}{h}\right)^{(1)} (L^{(1)} - a) + \left(\frac{a - a^{(1)}}{h}\right) (L^{(2)} - a^{(1)}) \\ &- \left(\frac{a}{h}\right) (f^{(2)} - a^{(1)}) \\ &= (a^{(1)} - a) + \left(\frac{a - a^{(1)}}{h}\right)^{(1)} (a_1 a^{(1)} - a) + \left(\frac{a - a^{(1)}}{h}\right)^{(1)} (L^{(1)} - a_1 a^{(1)}) \\ &+ \left(\frac{a - a^{(1)}}{h}\right) L^{(2)} - \left(\frac{a - a^{(1)}}{h}\right) a^{(1)} - \left(\frac{a}{h}\right) (f^{(2)} - a^{(1)}). \end{aligned}$$

This implies

$$\begin{aligned} \frac{1}{f^{(1)} - a} &= \frac{\zeta}{\eta} - \frac{1}{\eta} \left(\frac{a - a^{(1)}}{h} \right)^{(1)} \frac{L^{(1)} - a_1 a^{(1)}}{f^{(1)} - a} - \frac{a - a^{(1)}}{h\eta} \cdot \frac{L^{(2)}}{f^{(1)} - a} \\ &\quad + \frac{a}{h\eta} \cdot \frac{f^{(2)} - a^{(1)}}{f^{(1)} - a}, \end{aligned} \quad (2.3)$$

where $\zeta = 1 + \left(\frac{a}{h}\right)^{(1)}$ and $\eta = (a^{(1)} - a) + \left(\frac{a - a^{(1)}}{h}\right)^{(1)} (a_1 a^{(1)} - a) - \left(\frac{a - a^{(1)}}{h}\right) a^{(1)}$.

We now verify that $\zeta \neq 0$ and $\eta \neq 0$. If $\zeta \equiv 0$, then $1 + \left(\frac{a}{h}\right)^{(1)} \equiv 0$. Integrating we get $h = \frac{a}{d-z}$, where d is a constant, and this implies a contradiction as h is transcendental.

If $\eta \equiv 0$, then

$$(a^{(1)} - a) + \left(\frac{a - a^{(1)}}{h}\right)^{(1)} (a_1 a^{(1)} - a) - \left(\frac{a - a^{(1)}}{h}\right) a^{(1)} \equiv 0$$

Integrating we get

$h = \frac{P(z)}{Q(z)}$, where $P(z)$ and $Q(z)$ are polynomials of degree 2, which is a contradiction as h is transcendental.

Since clearly $T(r, \zeta) + T(r, \eta) = S(r, f)$, from (2.3) we get $m(r, a; f^{(1)}) = m(r, \frac{1}{f^{(1)} - a}) = S(r, f)$.

Case 2:

Next we suppose $k > 1$. Then from (2.2) we get

$$\begin{aligned} (f^{(1)} - a) \left\{ 1 + \left(\frac{a}{h}\right)^{(1)} \right\} &= (a^{(1)} - a) - \left(\frac{a(a - a^{(1)})}{h}\right)^{(1)} + \left(\frac{a - a^{(1)}}{h}\right)^{(1)} L^{(k)} \\ &\quad + \left(\frac{a - a^{(1)}}{h}\right) L^{(k+1)} - \left(\frac{a}{h}\right) (f^{(2)} - a^{(1)}) \end{aligned}$$

This implies

$$\begin{aligned} \frac{1}{f^{(1)} - a} &= \frac{\zeta}{\eta_1} - \frac{1}{\eta_1} \left(\frac{a - a^{(1)}}{h} \right)^{(1)} \frac{L^{(k)}}{f^{(1)} - a} - \frac{a - a^{(1)}}{h\eta_1} \cdot \frac{L^{(k+1)}}{f^{(1)} - a} \\ &\quad + \frac{a}{h\eta_1} \cdot \frac{f^{(2)} - a^{(1)}}{f^{(1)} - a}, \end{aligned} \quad (2.4)$$

where $\zeta = 1 + \left(\frac{a}{h}\right)^{(1)}$ and $\eta_1 = (a^{(1)} - a) - \left(\frac{a(a - a^{(1)})}{h}\right)^{(1)}$.

In case 1 we see that $\zeta \neq 0$. We now verify that $\eta_1 \neq 0$. If $\eta_1 \equiv 0$, then

$$(a^{(1)} - a) - \left(\frac{a(a - a^{(1)})}{h}\right)^{(1)} \equiv 0.$$

Integrating we get, $h = \frac{P_1(z)}{Q_1(z)}$, where $P_1(z)$ and $Q_1(z)$ are polynomials of degree 2, which is a contradiction as h is transcendental.

Since clearly $T(r, \zeta) + T(r, \eta_1) = S(r, f)$, from (2.4) we get $m(r, a; f^{(1)}) = m(r, \frac{1}{f^{(1)} - a}) = S(r, f)$.

This proves the lemma. \square

Lemma 2.3. *Let f be a transcendental entire function, $a = a(z) = \alpha z + \beta$, where $\alpha (\neq 0), \beta$ are constants and $k (\geq 1)$ be an integer. Further suppose that L defined by (1.1) be such that $L^{(k+1)}$ is nonconstant and*

- (i) $N_A(r, a; f) + N_A(r, a; f^{(1)}) = S(r, f)$, where $A = \overline{E}(a; f) \Delta \overline{E}(a; f^{(1)})$;
- (ii) $N_B(r, a; f^{(1)}) = S(r, f)$, where $B = \overline{E}(a; f^{(1)}) \setminus \{\overline{E}(a; L^{(k)}) \cap \overline{E}(a; L^{(k+1)})\}$;
- (iii) each common zero of $f - a$ and $f^{(1)} - a$ has the same multiplicity;
- (iv) $m(r, a; f) = S(r, f)$.

Then $f = L = \lambda e^z$, where $\lambda (\neq 0)$ is a constant.

Proof. Let

$$\phi = \frac{f^{(1)} - a}{f - a}. \quad (2.5)$$

By the hypotheses, $N(r, \phi) \leq N_A(r, a; f) + S(r, f) = S(r, f)$ and

$$m(r, \phi) = m\left(r, \frac{f^{(1)} - a^{(1)} + a^{(1)} - a}{f - a}\right) \leq m(r, a; f) + S(r, f) = S(r, f).$$

Therefore $T(r, \phi) = S(r, f)$. Now from (2.5) we get

$$f^{(1)} = \mu_1 + \nu_1 f, \quad (2.6)$$

where $\mu_1 = a(1 - \phi)$ and $\nu_1 = \phi$.

Differentiating (2.6) and using it again, we get

$$f^{(2)} = \mu_2 + \nu_2 f, \quad (2.7)$$

where $\mu_2 = \mu_1^{(1)} + \nu_1 \mu_1$ and $\nu_2 = \nu_1^{(1)} + \nu_1 \nu_1$.

Differentiating (2.7) and using (2.6) we get

$$f^{(3)} = \mu_3 + \nu_3 f,$$

where $\mu_3 = \mu_2^{(1)} + \mu_1 \nu_2$ and $\nu_3 = \nu_2^{(1)} + \nu_2 \nu_1$.

In general, we obtain

$$f^{(j)} = \mu_j + \nu_j f, \quad (2.8)$$

where $\mu_{j+1} = \mu_j^{(1)} + \mu_1 \nu_j$ and $\nu_{j+1} = \nu_j^{(1)} + \nu_1 \nu_j$ for $j = 1, 2, 3, \dots$

Clearly $T(r, \mu_j) + T(r, \nu_j) = S(r, f)$ for $j = 1, 2, 3, \dots$. Let z_0 be a pole of ϕ with multiplicity $p (\geq 2)$. Then z_0 is a pole of ν_2 with multiplicity $\max\{2p, p+1\} = 2p$ and is a pole of ν_3 with multiplicity $\max\{3p, 2p+1\} = 3p$. In general, z_0 is a pole of ν_{j+1} with multiplicity $\max\{(j+1)p, jp+1\} = (j+1)p$.

Now

$$L^{(k)} = \sum_{j=1}^n a_j f^{(j+k)} = \sum_{j=1}^n a_j \mu_{j+k} + \left(\sum_{j=1}^n a_j \nu_{j+k} \right) f = \xi + \chi f, \text{ say.} \quad (2.9)$$

Clearly $T(r, \xi) + T(r, \chi) = S(r, f)$. Differentiating (2.9) we obtain

$$L^{(k+1)} = \xi^{(1)} + \chi^{(1)} f + \chi f^{(1)}. \quad (2.10)$$

Let $E^* = \bar{E}(a; f) \cap \bar{E}(a; f^{(1)}) \cap \bar{E}(a; L^{(k)}) \cap \bar{E}(a; L^{(k+1)})$. We note that $E^* \neq \emptyset$ because otherwise $N(r, a; f) = S(r, f)$, a contradiction. If $z_1 \in E^*$, then from (2.9) and (2.10) we get

$$\xi(z_1) + \chi(z_1)a(z_1) = a(z_1) \quad (2.11)$$

and

$$\xi^{(1)}(z_1) + \chi^{(1)}(z_1)a(z_1) + \chi(z_1)a'(z_1) = a'(z_1). \quad (2.12)$$

We put $\gamma = \xi + \chi a - a$ and $\delta = \xi^{(1)} + \chi^{(1)}a + \chi a' - a$. Then $T(r, \gamma) + T(r, \delta) = S(r, f)$. If $\gamma \not\equiv 0$, then from (2.11) we get $N_{E^*}(r, a; f) = \bar{N}_{E^*}(r, a; f) \leq N(r, 0; \gamma) = S(r, f)$. Now

$$\begin{aligned} N(r, a; f) &\leq N_A(r, a; f) + N_B(r, a; f^{(1)}) + N_{E^*}(r, a; f) + S(r, f) \\ &= S(r, f) \end{aligned}$$

and by hypothesis $T(r, f) = S(r, f)$, a contradiction. So $\gamma \equiv 0$. Similarly we can show that $\delta \equiv 0$. This implies that $\xi \equiv 0$ and $\chi \equiv 1$. From (2.9) we get $f \equiv L^{(k)}$.

Also $\sum_{j=1}^n a_j \nu_{j+k} \equiv 1$ and $a_n \neq 0$ imply that ϕ has no pole. For, otherwise the left hand side would have some pole while the right hand side is a constant, a contradiction.

Further from $\sum_{j=1}^n a_j \nu_{j+k} \equiv 1$ we get

$$a_n \phi^{n+k} + P[\phi] \equiv 0, \quad (2.13)$$

where $P[\phi]$ is a differential polynomial in ϕ with degree not exceeding $n+k-1$.

If ϕ is a transcendental entire function, then by Clunie's lemma we have $m(r, \phi) = S(r, \phi)$, a contradiction. If ϕ is a nonconstant polynomial, then the left hand side of (2.13) is a nonconstant polynomial, which is impossible. Therefore ϕ is a constant and we get

$f^{(1)} - a = \phi(f - a)$, i.e., $f^{(1)} - \phi f = a(1 - \phi)$, i.e., $\frac{df}{dz} - \phi f = (\alpha z + \beta)(1 - \phi)$.

This implies $\frac{d}{dz}(e^{-\phi z} f) = e^{-\phi z}(\alpha z + \beta)(1 - \phi)$ and so on integration we obtain

$$e^{-\phi z} f = \frac{a(\phi - 1)}{\phi} e^{-\phi z} \left(a + \frac{\alpha}{\phi} \right) + c,$$

i.e.,

$$f = \frac{\phi - 1}{\phi} + \lambda e^{\phi z},$$

where $\lambda (\neq 0)$ is a constant.

Therefore

$$\begin{aligned} L^{(k)} &= \sum_{j=1}^n a_j f^{(j+k)} = \left(\sum_{j=1}^n a_j \phi^{j+k} \right) \lambda e^{\phi z} \\ &= \left(\sum_{j=1}^n a_j \nu_{j+k} \right) \lambda e^{\phi z} = \lambda e^{\phi z} = \frac{f^{(1)}}{\phi} - \frac{\phi - 1}{\phi^2} \left(a + \frac{\alpha}{\phi} \right). \end{aligned} \quad (2.14)$$

Since $E^* \neq \emptyset$, we have $f(z_2) = f^{(1)}(z_2) = L^{(k)}(z_2) = L^{(k+1)}(z_2) = a(z_2)$ for some $z_2 \in E^*$.

Then from (2.14) we get

$$a(z_2) = \frac{a(z_2)}{\phi} - \frac{\phi - 1}{\phi^2} \left(a(z_2) + \frac{\alpha}{\phi} \right). \text{ By simple calculation we get } (\phi - 1)\{(\phi + 1)a(z_2) + \alpha\} = 0$$

If $\phi \neq 1$, then $\{(\phi + 1)a(z_2) + \alpha\} = 0$ and by the hypothesis we get $N_{E^*}(r, a; f) = \overline{N}_{E^*}(r, a; f) \leq N(r, 0; \{(\phi + 1)a + \alpha\}) = S(r, f)$. Now

$$\begin{aligned} N(r, a; f) &\leq N_A(r, a; f) + N_B(r, a; f^{(1)}) + N_{E^*}(r, a; f) + S(r, f) \\ &= S(r, f) \end{aligned}$$

and by hypothesis $T(r, f) = S(r, f)$, a contradiction.

Therefore $\phi = 1$ and $L^{(k)} \equiv f \equiv \lambda e^z$.

Now we have

$$\lambda e^z = L^{(k)} = \sum_{j=1}^n a_j f^{(j+k)} = \left(\sum_{j=1}^n a_j \right) \lambda e^z \quad \text{and so} \quad \sum_{j=1}^n a_j = 1.$$

Therefore $L = \sum_{j=1}^n a_j f^{(j)} = \left(\sum_{j=1}^n a_j \right) \lambda e^z = \lambda e^z$. This proves the lemma. \square

Lemma 2.4. {p.58 [7]}. *Each solution of the differential equation*

$$a_n f^{(n)} + a_{n-1} f^{(n-1)} + \cdots + a_0 f = 0,$$

where $a_0 (\neq 0), a_1, \dots, a_n (\neq 0)$ are polynomials, is an entire function of finite order.

Lemma 2.5. { p.47 [1] }. *Let f be a nonconstant meromorphic function and a_1, a_2, a_3 be three distinct meromorphic functions satisfying $T(r, a_\nu) = S(r, f)$ for $\nu = 1, 2, 3$.*

Then $T(r, f) \leq \overline{N}(r, 0; f - a_1) + \overline{N}(r, 0; f - a_2) + \overline{N}(r, 0; f - a_3) + S(r, f)$.

3. PROOF OF THE THEOREM

First we claim that f is a transcendental entire function. If f is a polynomial, then $T(r, f) = O(\log r)$ and $N_A(r, a; f) + N_A(r, a; f^{(1)}) = O(\log r)$. Then from the hypothesis we get $O(\log r) = O(\log T(r, f)) = S(r, f)$, which implies $T(r, f) = S(r, f)$, a contradiction. Therefore $A = \emptyset$. Similarly, $N_B(r, a; f^{(1)}) = S(r, f)$ implies $B = \emptyset$.

Therefore $E(a; f) = E(a; f^{(1)})$ and $\overline{E}(a; f^{(1)}) \subset \overline{E}(a, L^{(k)}) \cap \overline{E}(a; L^{(k+1)})$.

First we suppose degree of f be 1 and we consider $f(z) = Az + B$, where $A (\neq 0), B$ are constants. Then $f^{(1)} = A$ and $L^{(k)} \equiv L^{(k+1)} \equiv 0$. Now $\frac{A-\beta}{\alpha}$ is the only zero of $f^{(1)} - a$ and $-\frac{\beta}{\alpha}$ is the only zero of $L^{(k)} - a$. So $\overline{E}(a; f^{(1)}) \subset \overline{E}(a, L^{(k)})$ implies that $\frac{A-\beta}{\alpha} = -\frac{\beta}{\alpha}$ and so $A = 0$, a contradiction.

Next we suppose that the degree of f be greater than 1. Then $\deg(f - a) > \deg(f^{(1)} - a)$. Since each common zero of $f - a$ and $f^{(1)} - a$ has the same multiplicity, this contradicts the fact that $E(a; f) = E(a; f^{(1)})$.

Therefore our claim ' f is a transcendental entire function ' is established.

We note that a common zero of $f - a$ and $f^{(1)} - a$ of multiplicity $q(\geq 2)$ is a zero of $a - a^{(1)} = (f^{(1)} - a^{(1)}) - (f^{(1)} - a)$ with multiplicity $q - 1(\geq 1)$. Therefore

$$N_{(2)}(r, a; f^{(1)}|f = a) \leq 2N(r, 0; a - a^{(1)}) = S(r, f),$$

where $N_{(2)}(r, a; f^{(1)}|f = a)$ denotes the counting function (counted with multiplicities) of those multiple zeros of $f^{(1)} - a$, which are also zeros of $f - a$.

Now

$$\begin{aligned} N_{(2)}(r, a; f^{(1)}) &\leq N_A(r, a; f^{(1)}) + N_B(r, a; f^{(1)}) + N_{(2)}(r, a; f^{(1)}|f = a) + S(r, f) \\ &= N_{(2)}(r, a; f^{(1)}|f = a) + S(r, f) \\ &= S(r, f), \end{aligned} \tag{3.1}$$

where $N_{(2)}(r, a; f^{(1)})$ denotes the counting function (counted with multiplicities) of multiple zeros of $f^{(1)} - a$.

Case 1: Let $L^{(k)} \equiv L^{(k+1)} \equiv f^{(1)}$.

Then $L^{(k)} = L^{(k+1)} = f^{(1)} = \lambda e^z$, where $\lambda(\neq 0)$ is a constant.

Therefore $f = \lambda e^z + t$, where t is a constant.

By Lemma 2.5 we get

$$\begin{aligned} T(r, \lambda e^z) &\leq \bar{N}(r, 0; \lambda e^z) + \bar{N}(r, \infty; \lambda e^z) + \bar{N}(r, a - t; \lambda e^z) + S(r, \lambda e^z) \\ &= \bar{N}(r, a; f) + S(r, \lambda e^z), \end{aligned}$$

which implies $\bar{N}(r, a; f) \neq S(r, f)$. Again since $N_A(r, a; f) + N_B(r, a; f^{(1)}) = S(r, f)$, we get $\bar{E}(a; f) \cap \bar{E}(a; f^{(1)}) \neq \emptyset$, otherwise $\bar{N}(r, a; f) \neq S(r, f)$. This implies $t = 0$ and so $f = L^{(k)}$.

Now $m(r, a; f) \leq m(r, \frac{a}{f-a} + 1) + S(r, f) = m(r, \frac{f}{f-a}) + S(r, f) = m(r, \frac{L^{(k)}}{f-a}) + S(r, f) = S(r, f)$

Therefore by Lemma 2.3 we get $f = L = \lambda e^z$, where $\lambda(\neq 0)$ is a constant.

Case 2: Let $L^{(k+1)} \not\equiv f^{(1)}$. Then using (3.1) we get by the hypothesis

$$\begin{aligned} N(r, a; f^{(1)}) &\leq N_B(r, a; f^{(1)}) + N(r, \frac{a}{a-\alpha}; \frac{L^{(k+1)}}{f^{(1)}-\alpha}) + S(r, f) \\ &\leq T(r, \frac{L^{(k+1)}}{f^{(1)}-\alpha}) + S(r, f) \\ &= N(r, \frac{L^{(k+1)}}{f^{(1)}-\alpha}) + S(r, f) \\ &\leq N(r, \alpha; f^{(1)}) + S(r, f). \end{aligned} \tag{3.2}$$

Again

$$\begin{aligned} m(r, a; f) &\leq m(r, \frac{f^{(1)}-\alpha}{f-a} \cdot \frac{1}{f^{(1)}-\alpha}) \\ &\leq m(r, \alpha; f^{(1)}) + S(r, f) \\ &= T(r, f^{(1)}) - N(r, \alpha; f^{(1)}) + S(r, f) \\ &= m(r, f^{(1)}) - N(r, \alpha; f^{(1)}) + S(r, f) \\ &\leq m(r, f) - N(r, \alpha; f^{(1)}) + S(r, f) \\ &= T(r, f) - N(r, \alpha; f^{(1)}) + S(r, f) \end{aligned}$$

i.e., $N(r, \alpha; f^{(1)}) \leq N(r, a; f) + S(r, f)$.

So from (3.2) we get

$$N(r, a; f^{(1)}) \leq N(r, a; f) + S(r, f). \tag{3.3}$$

Again

$$\begin{aligned} N(r, a; f) &\leq N_A(r, a; f) + N(r, a; f^{(1)} \mid f = a) \\ &\leq N(r, a; f^{(1)}) + S(r, f). \end{aligned} \quad (3.4)$$

Therefore from (3.3) and (3.4) we get

$$N(r, a; f^{(1)}) = N(r, a; f) + S(r, f). \quad (3.5)$$

Let h , defined as in Lemma 2.2, be transcendental. Then

$$\begin{aligned} T(r, f) &= m(r, f) = m(r, \frac{1}{h}\{(a - a^{(1)})(L^{(k)} - a) - a(f^{(1)} - a)\}) + S(r, f) \\ &\leq m(r, \frac{1}{h}\{(a - a^{(1)})L^{(k)} - a f^{(1)}\}) + S(r, f) \\ &\leq m(r, f^{(1)}) + S(r, f) \\ &= T(r, f^{(1)}) + S(r, f) \\ &= m(r, f^{(1)}) + S(r, f) \\ &\leq m(r, f) + S(r, f) \\ &= T(r, f) + S(r, f). \end{aligned}$$

Therefore

$$T(r, f^{(1)}) = T(r, f) + S(r, f). \quad (3.6)$$

Again by Lemma 2.2 we get $m(r, a; f^{(1)}) = S(r, f)$.

Then from (3.5) and (3.6) we get $m(r, a; f) = S(r, f)$.

Next we suppose that h is rational. Then by Lemma 2.4 we see that f is of finite order.

So by the hypothesis and Lemma 2.1 we get $m(r, a; f) = S(r, f)$.

Therefore by Lemma 2.3 we get $f = L = \lambda e^z$, where $\lambda (\neq 0)$ is a constant.

Case 3: Finally let $L^{(k+1)} \neq L^{(k)}$.

Then by the hypothesis and (3.1) we get

$$\begin{aligned} N(r, a; f^{(1)}) &\leq N_B(r, a; f^{(1)}) + N(r, 1; \frac{L^{(k+1)}}{L^{(k)}}) + S(r, f) \\ &\leq T(r, \frac{L^{(k+1)}}{L^{(k)}}) + S(r, f) \\ &= N(r, \frac{L^{(k+1)}}{L^{(k)}}) + S(r, f) \\ &= \bar{N}(r, 0; L^{(k)}) + S(r, f). \end{aligned} \quad (3.7)$$

Again

$$\begin{aligned} m(r, a; f) &= m(r, \frac{L^{(k)}}{f - a} \cdot \frac{1}{L^{(k)}}) \\ &\leq m(r, 0; L^{(k)}) + S(r, f) \\ &= T(r, L^{(k)}) - N(r, 0; L^{(k)}) + S(r, f) \\ &= m(r, L^{(k)}) - N(r, 0; L^{(k)}) + S(r, f) \\ &\leq m(r, \frac{L^{(k)}}{f}) + m(r, f) - N(r, 0; L^{(k)}) + S(r, f) \\ &= m(r, f) - N(r, 0; L^{(k)}) + S(r, f) \\ &= T(r, f) - N(r, 0; L^{(k)}) + S(r, f) \end{aligned}$$

and so

$N(r, 0; L^{(k)}) \leq N(r, a; f) + S(r, f)$. Now by (3.7) we get

$$N(r, a; f^{(1)}) \leq N(r, a; f) + S(r, f). \quad (3.8)$$

Also

$$\begin{aligned} N(r, a; f) &\leq N_A(r, a; f) + N(r, a; f^{(1)} \mid f = a) \\ &\leq N(r, a; f^{(1)}) + S(r, f). \end{aligned} \quad (3.9)$$

From (3.8) and (3.9) we get $N(r, a; f^{(1)}) = N(r, a; f) + S(r, f)$, which is (3.5).

Now as in Case 2, by Lemma 2.1, Lemma 2.2, Lemma 2.4 and (3.5) we obtain $m(r, a; f) = S(r, a; f)$. Then by Lemma 2.3 we get $f = L = \lambda e^z$, where $\lambda (\neq 0)$ is a constant.

This proves the theorem.

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